

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: )  
)  
Saldana et al. )  
)  
Application No. Unassigned )  
)  
Filed: November 18, 2003 )  
)  
For: METHODS FOR ALIGNING A SURFACE )  
OF AN ACTIVE RETAINER RING WITH )  
A WAFER SURFACE FOR CHEMICAL )  
MECHANICAL POLISHING )  
\_\_\_\_\_ )

Group Art Unit: Unassigned  
Examiner: Unassigned  
Atty. Docket No: LAM2P243D  
Date: November 18, 2003

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on November 18, 2003 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL964943320US**, addressed to the Commissioner for Patents, Alexandria, VA, 22313-1450.

Signed: \_\_\_\_\_

Kay Harlow

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.56 AND 1.97(c)**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

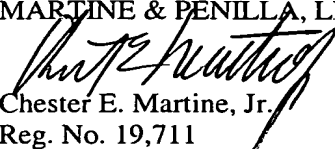
Enclosed is a PTO Form 1449 listing references to be made of record. Copies of each of the identified references have been previously submitted in the parent case having Application Number 09/823,169, and may be material to the examination of the above-identified patent application. Applicants submit this list of references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is being filed within three (3) months of the filing date of the above-referenced application. However, should the Commissioner determine that additional fees are required for filing the present Information Disclosure Statement, the Commissioner is hereby authorized to charge any such fees to our deposit account number 50-0805 (Order No: ). A copy of this sheet is enclosed.  
LAM2P243D

Respectfully submitted,

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Customer Number 25920  
Attorney Docket No. LAM2P243D

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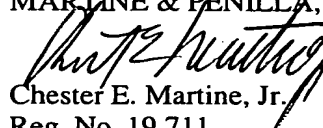
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Attorney Docket No. LAM2P243D

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Attorney Docket No:	U.S.
	LAM2P243D	Unassigned
	Applicants:	
	Saldana et al.	
	Filing Date:	Group:
	November 18, 2003	Unassigned

### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class
	A	3,505,766	12/1964	Boettcher et al.	51	129
	B	3,518,798	08/1967	Boettcher	51	131
	C	3,631,634	01/1972	Weber	51	131
	D	3,665,648	05/1972	Yamanaka	51	134
	E	5,205,082	04/1993	Shendon et al.	51	283 R
	F	5,643,061	07/1997	Jackson et al.	451	289
	G	5,851,136	12/1998	Lee	451	9
	H	5,931,725	08/1999	Inaba et al.	451	288
	I	5,951,373	09/1999	Shendon et al.	451	41
	J	US 6,116,990	09/2000	Sinclair et al.	451	259
	K	US 6,136,715	10/2000	Shendon et al.	438	692
	L	US 6,168,684	01/2001	Mitsuhashi et al.	156	345

### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	M	11145978	05/26/99	JPO (Abstract)	B24B	37/04	X	
	N	08320301	11/29/96	JPO (Abstract)	B24B	37/04	X	
	O							

### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	P	
	Q	
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class
	A2	US 6,354,907 B1	03/2002	Sato et al.	451	5
	B2	US 6,443,815 B1	09/2002	Williams	451	56
	C					
	D					
	E					
	F					
	G					
	H					
	I					
	J					
	K					

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							Yes	No
	L							
	M							
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	O							
	P							

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	R	
	S	
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